

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2413-101A

Total Pages

39

First Named Inventor or Application Identifier

Nicholas P. MARCHESANI

Express Mail Label No.

U.S. PTO  
Jc135  
09/168072  
10/08/98

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

- 10/08/98
1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total pages [27]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) (Total Sheets) [6]
4. ☒ Oath or Declaration (Total Pages) [3]
- a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application  
(37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed)
- [Note Box 5 below]**
- i ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ Incorporation by Reference (useable if Box 4b is  
checked) The entire disclosure of the prior  
application, from which a copy of the oath or  
declaration is supplied under Box 4b, is  
considered as being part of the disclosure of  
the accompanying application and is hereby  
incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
- ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement /PTO 1449  
☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☒ Small Entity Statement(s)  
☐ Statement Filed in prior application,  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s).  
(if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label or ☒ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	Stephen B. Parker, Reg. No. 36,631				
Address	Rothwell, Figg, Ernst & Kurz Suite 701-East, 555 13th Street, N.W.				
City	Washington	State	D.C.	Zip Code	20004
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031

<b>FEE TRANSMITTAL</b>  <b>(Small Entity)</b>		Complete if Known	
		Application Number	[to be assigned]
		Filing Date	Herewith
		First Named Inventor	Nicholas P. Marchesani
		Group Art Unit	[to be assigned]
		Examiner Name	[to be assigned]
Total Amount of Payment	(\$ 472.00	Attorney Docket Number	2413-101A

**METHOD OF PAYMENT (check one)**
**FEE CALCULATION (continued)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Kurz

☐ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge for the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

☒ Payment Enclosed: Check

**3. ADDITIONAL FEES**

Fee Description	Fee Code	Fee Paid
<input type="checkbox"/> Surcharge - late filing fee or oath	205	65
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	227	25
<input type="checkbox"/> Non-English specification	139	130
<input type="checkbox"/> For filing a request for reexamination	147	2,520
<input type="checkbox"/> Requesting publication of SIR prior to Examiner action	112	920
<input type="checkbox"/> Requesting publication of SIR after Examiner action	113	1,840*
<input type="checkbox"/> Extension for reply within first month	215	55
<input type="checkbox"/> Extension for reply within second month	216	200
<input type="checkbox"/> Extension for reply within third month	217	475
<input type="checkbox"/> Extension for reply within fourth month	218	755
<input type="checkbox"/> Extension for reply within fifth month	228	1,030
<input type="checkbox"/> Notice of Appeal	219	155
<input type="checkbox"/> Filing a brief in support of an appeal	220	155
<input type="checkbox"/> Request for Oral Hearing	221	135
<input type="checkbox"/> Petition to institute a public use proceeding	138	1,510
<input type="checkbox"/> Petition to revive - unavoidable	240	55
<input type="checkbox"/> Petition to revive - unintentional	241	660
<input type="checkbox"/> Utility issue fee (or reissue)	242	660
<input type="checkbox"/> Design issue fee	243	225
<input type="checkbox"/> Plant issue fee	244	335
<input type="checkbox"/> Petitions to the Commissioner	122	130
<input type="checkbox"/> Petitions related to provisional applications	123	50
<input type="checkbox"/> Submission of Information Disclosure Statement	126	240
<input type="checkbox"/> Recording each patent assignment per property (times number of properties)	581	40
<input type="checkbox"/> Filing a submission after final rejection (37 CFR .129(a))	246	395
<input type="checkbox"/> For each additional invention to be examined (37 CFR 1.129(b))	249	395

Other fee (specify)

☐

**FEE CALCULATION**
**FILING FEE**

Fee Description	Fee Code	Fee Paid
<input checked="" type="checkbox"/> Utility Filing Fee	201	395
<input type="checkbox"/> Design Filing Fee	206	165
<input type="checkbox"/> Plant Filing Fee	207	270
<input type="checkbox"/> Reissue Filing Fee	208	395
<input type="checkbox"/> Provisional Filing Fee	214	75
<b>SUBTOTAL</b>		<b>\$395.00</b>

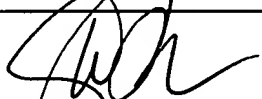
**CLAIMS**

	Fee from				Fee Paid
	Extra	below			
Total Claims	27 - 20 =	x	\$11	=	\$77.00
Independent Claims	2 - 3 =	x	41	=	
Multiple Dependent Claims		+	135	=	

**SUBTOTAL \$ 77.00**

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL \$**

SUBMITTED BY		Complete (if applicable)	
NAME & REG. NUMBER	Stephen B. Parker, Reg. No. 36,631		
SIGNATURE	DATE	DEPOSIT ACCOUNT USER ID	
	10/8/98		